PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 2354/380

07-07

U.S. APPLICATION NO 517 74, 8 8 5 R 1.5)

INTERNATIONAL APPLICATION NO. PCT/AU2004/001376

INTERNATIONAL FILING DATE 08 October 2004 (8.10.2004)

PRIORITY DATE CLAIMED
09 October 2003 (9.10.2003)

| TITLE OF INVENTION CELL SURFACE MARKER |
|--|
| APPLICANT(S) FOR DO/EO/US PERA, Martin; HAWES, Susan; STAMP, Lincon; CROSBY, Heather and STRAIN, Alastair |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |
| 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |
| 4. X The US has been elected (Article 31). |
| 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) |
| a. is attached hereto (required only if not communicated by the International Bureau). |
| b. $\overline{\mathbf{X}}$ has been communicated by the International Bureau. |
| c. is not required, as the application was filed in the United States Receiving Office (RO/US). |
| 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). |
| a. is attached hereto. |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). |
| 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) |
| a. are attached hereto (required only if not communicated by the International Bureau). |
| b. have been communicated by the International Bureau. |
| c. have not been made; however, the time limit for making such amendments has NOT expired. |
| d. $oxed{X}$ have not been made and will not be made. |
| 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). |
| 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |
| Items 11 to 20 below concern document(s) or information included: |
| 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. |
| 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |
| 13. X A preliminary amendment. |
| 14. An Application Data Sheet under 37 CFR 1.76. |
| 15. A substitute specification. |
| 16. A power of attorney and/or change of address letter. |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. |
| 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). |
| 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP9 Rec'd PCT/PTO 07 APR 2006

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| | | | INTERNATIONAL API | INTERNATIONAL APPLICATION NO. PCT/AU2004/001376 | | ATTORNEY'S DOCKET NUMBER ' | |
|---|---|-----------------|---|---|------------------------|---------------------------------------|--|
| 10/574885 | | PCT/AU2004 | 80 | | | | |
| | tems or information | | , | | | | |
| The follo | wing fees have b | een submitted | | | CALCULATIONS | PTO USE ONLY | |
| | - | | | \$300 | \$ 300.00 | | |
| 22. X Exam | ination fee (37 CF | R 1.492(c)) | | | | | |
| by IPĖA/l | on prepared by IS JS indicates all class | \$ 200.00 | | | | | |
| 23. X Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | \$ 400.00 | | | |
| TOTAL OF 21, 22 and 23 = | | | | 900.00 | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE | | | | | | | |
| | | | up to a whole number) | | | | |
| 62 - 100 = | -38 /50 = | | | x \$250 | \$ 0.00 | • | |
| | | | th fee, examination fee, or the le (37 CFR 1.492(h)). | oath or dedaration | \$ 130.00 | | |
| CLAIMS | NUMB | ER FILED | NUMBER EXTRA | RATE | \$ | | |
| Total claims | 79 | - 20 = | 59 | × \$ 50 | \$ 2,950.00 | | |
| Independent clain | ns 3 | - 3 = | 0 | x \$200 | \$ 0.00 | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 | | | | | \$ 0.00 | · · · · · · · · · · · · · · · · · · · | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 3,980.00 | | | |
| Applicant daims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | 1,990.00 | | | |
| Dragoning for of | £420.00 for front | -bin-sta-Castab | translation later than 20 month | SUBTOTAL = | \$ 1,990.00 | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | . \$ | 0.00 | | |
| | | | TOTAL | NATIONAL FEE = | \$ | 1,990.00 | |
| | | | 1.21(h)). The assignment mus | t be accompanied + | \$ | 0.00 | |
| | | | TOTAL FE | ES ENCLOSED = | \$ | 1,990.00 | |
| | | | | | Amount to be refunded: | \$ | |
| | - | | | | Amount to be charged | \$ | |

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| b. 🗆 | Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | |
| c. X | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138 . A duplicate copy of this sheet is endosed. | | | | |
| d. 🔲 | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| Andre Nixor Clinto Roche | LL CORRESPONDENCE TO: EW K. Gonsalves Peabody LLP In Square, P.O. Box 31051 Ester, NY 14603-105 Id States of America Andrew K. Gonsalves NAME 48,145 REGISTRATION NUMBER | | | | |

DOCKET NO.:

2354/380 (FF39178/06)

APPLICANT:

PERA, Martin; HAWES, Susan; STAMP, Lincon; CROSBY, Heather;

STRAIN, Alastair

TITLE:

CELL SURFACE MARKER

Certificate is attached to the Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) of the above-named application.

"EXPRESS MAIL" NUMBER:

EV685740103US

DATE OF DEPOSIT:

April 7, 2006

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Shawn A. Lockett

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or

DOCKET NO.:

2354/380 (FF39178/06)

APPLICANT:

PERA, Martin; HAWES, Susan; STAMP, Lincon; CROSBY, Heather;

STRAIN, Alastair

TITLE:

CELL SURFACE MARKER

Certificate is attached to the Information Disclosure Statement (2 pages), PTO/SB/08A form, and PCT Search Report (2 pages) of the above-named application.

"EXPRESS MAIL" NUMBER:

EV685740103US

DATE OF DEPOSIT:

April 7, 2006

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Shawn A. Lockett

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DOCKET NO.:

2354/380 (FF39178/06)

APPLICANT:

PERA, Martin; HAWES, Susan; STAMP, Lincon; CROSBY, Heather;

STRAIN, Alastair

TITLE:

CELL SURFACE MARKER

Certificate is attached to the Preliminary Amendment (12 pages) of the above-named application.

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DOCKET NO.:

2354/380 (FF39178/06)

APPLICANT:

PERA, Martin; HAWES, Susan; STAMP, Lincon; CROSBY, Heather;

STRAIN, Alastair

TITLE:

CELL SURFACE MARKER

Certificate is attached to the Application Data Sheet (4 pages) of the above-named application.

"EXPRESS MAIL" NUMBER:

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Shawn A. Lockett

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DOCKET NO.:

2354/380 (FF39178/06)

APPLICANT:

PERA, Martin; HAWES, Susan; STAMP, Lincon; CROSBY, Heather;

STRAIN, Alastair

TITLE:

CELL SURFACE MARKER

Certificate is attached to the International Preliminary Report on Patentability (5 pages) of the above-named application.

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Shawn A. Lockett
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